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## Tetrahydrocarbazole-based serotonin reuptake inhibitor/dopamine D<sub>2</sub> partial agonists for the potential treatment of schizophrenia

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### ABSTRACT

A 5-fluoro-tetrahydrocarbazole serotonin reuptake inhibitor (SRI) building block was combined with a variety of linkers and dopamine D<sub>2</sub> receptor ligands in an attempt to identify potent D<sub>2</sub> partial agonist/SRI molecules for treatment of schizophrenia. This approach has the potential to treat a broader range of symptoms compared to existing therapies. Selected compounds in this series demonstrate high affinity for both targets and D<sub>2</sub> partial agonism in cell-based and in vivo assays.

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Schizophrenia is a complex psychiatric disorder that has been estimated to occur in up to 1% of the world's population.<sup>1</sup> There are three distinct symptom domains associated with the disease. Positive symptoms (e.g., delusions and hallucinations) are treated with atypical and typical antipsychotics such as olanzapine or haloperidol, respectively, by antagonizing excessive activation of dopamine D<sub>2</sub> receptors in the mesolimbic dopamine pathway. However, efficacy against negative (e.g., social withdrawal), cognitive (e.g., lack of ability to concentrate) and co-morbid mood symptoms with these agents is limited at best, and treatment of these aspects of the disease often requires additional pharmacotherapy.

In addition to a lack of efficacy against multiple symptom domains, typical antipsychotics such as haloperidol have adverse event profiles that limit their utility, including extrapyramidal effects (EPS) associated with excessive D<sub>2</sub> receptor antagonism in nigrostriatal regions of the brain.<sup>2</sup> Atypical antipsychotics such as clozapine and olanzapine exhibit a reduced EPS risk due in part to activity at other monoamine receptors in the CNS, such as 5-HT<sub>2A</sub> antagonism, but have hematological and/or metabolic drawbacks.<sup>2</sup> Clinical evidence suggests that partial agonism at dopamine D<sub>2</sub> receptors

confers antipsychotic efficacy with reduced EPS risk, and that combining dopaminergic activity with serotonin reuptake inhibition (SRI) can treat the negative symptoms associated with schizophrenia.<sup>3</sup> It has been reported that a dual acting D<sub>2</sub> antagonist/SRI shows activity in preclinical antipsychotic models.<sup>4</sup> Taking all of these factors into account, we hypothesized that combining dopamine D<sub>2</sub> partial agonism and SRI activity in a single molecule as a treatment for schizophrenia may be an attractive approach for the discovery of innovative antipsychotics.

In this Letter, we describe our initial efforts to achieve this goal and show that it is possible to identify molecules with dual D<sub>2</sub>/SRI activity that show D<sub>2</sub> partial agonism in vitro, with antipsychotic activity and the potential for lower risk of EPS side effects in rodent models of dopaminergic function.

A known SRI pharmacophore, 5-fluoro-tetrahydrocarbazole **1**<sup>5</sup> was combined with D<sub>2</sub> fragments **2**<sup>5,7</sup> and related piperazines **3** and **4**<sup>4</sup> (Fig. 1). These D<sub>2</sub> ligands were selected because they furnished compounds with partial (**5**)<sup>6</sup> and full D<sub>2</sub> antagonist activity.<sup>4</sup> We envisioned the use of flexible and conformationally restricted linkers attached to the tetrahydrocarbazole fragment at C-2 or C-3 to evaluate the effect these linkers might have on D<sub>2</sub> functional activity in target molecules. In addition, the potential of the linker to influence SRI and D<sub>2</sub> binding affinity was of interest.

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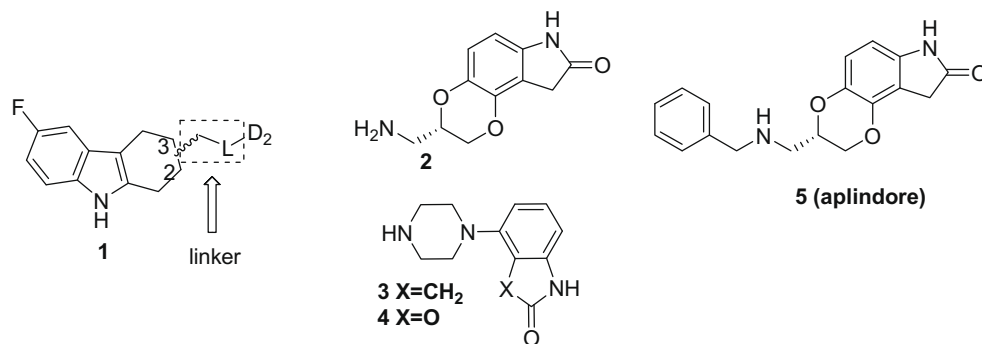


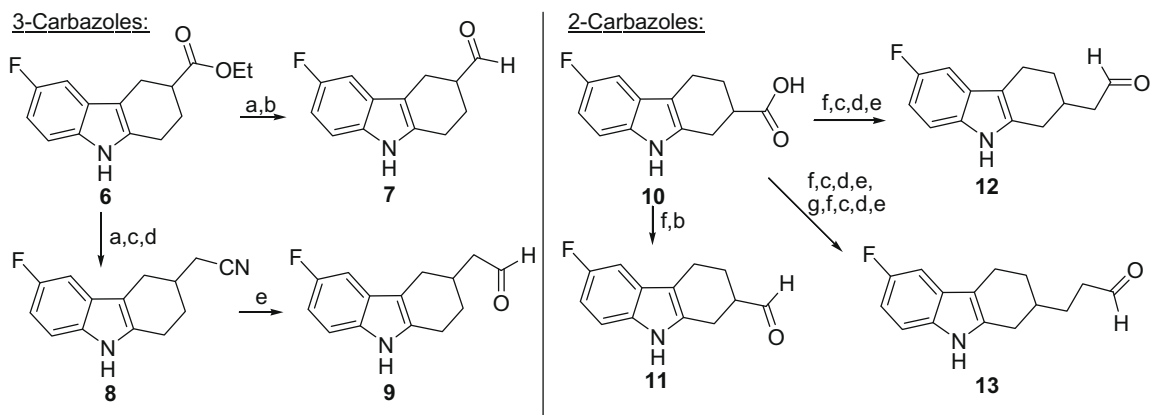
Figure 1. Design of partial D<sub>2</sub> agonist/SRI molecules.

The synthesis of alkyl-linked tetrahydrocarbazole building blocks **7**, **9** and **11–13** is outlined in Scheme 1. Optically pure benzyl amine **5** (apindore), lactam **3** and oxazolone **4** were prepared by published methods.<sup>4,7</sup> D<sub>2</sub> building blocks **15**, **16** and **18** were synthesized as shown in Scheme 2. SRI fragments were coupled to apindore and piperaziny derivatives **3** and **4** by reductive amination (Scheme 3). Target compounds derived from **5** (**22–27**) were separated into pure diastereomers by preparative HPLC prior to debenzoylation.<sup>8</sup> Enantiomers **30** and **31** were obtained by chiral HPLC.<sup>8</sup> Diastereomers **39** and **40**, obtained following reductive amination between **7** and **19**, were separated by preparative HPLC.<sup>8</sup> All other target molecules were tested as racemic mixtures.<sup>8</sup>

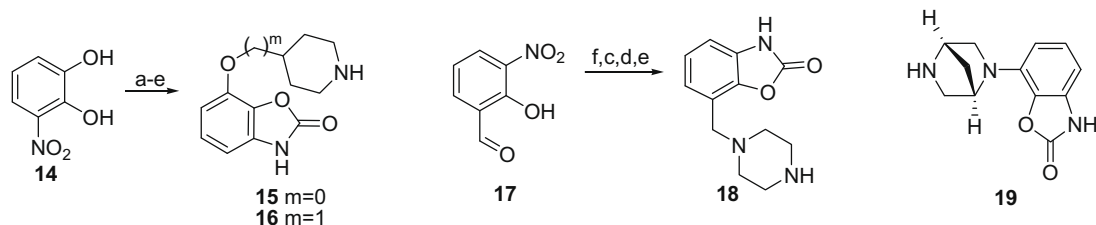
Target compounds were screened for binding at the human serotonin reuptake site in a [<sup>3</sup>H]citalopram displacement assay.<sup>9</sup> D<sub>2</sub> receptor binding was measured using [<sup>3</sup>H]spiperone as the ligand.<sup>10</sup> Compounds with K<sub>i</sub> values less than 50 nM at both receptors advanced to an in vitro D<sub>2</sub> functional assay that measures

changes in intracellular cAMP levels.<sup>11</sup> Agonist activity was defined as a ratio ( $\alpha$ -value) relative to the full agonist quinpirole ( $\alpha$ -value 1).<sup>11</sup> Preferred compounds exhibited  $\alpha$ -values between 0.2 and 0.5 in the in vitro D<sub>2</sub> functional assay. Molecules that met SRI and D<sub>2</sub> affinity and functional activity criteria were tested in animal models reflective of each target's activity. SRI activity was evaluated acutely using ip administration (3, 10, 30 mg/kg) in a mouse 5-HTP potentiation model.<sup>12</sup> Apomorphine-induced climbing (AIC) versus stereotypy in mice and rat conditioned avoidance responding (CAR) were used as models to predict atypicality and anti-psychotic activity.<sup>13</sup>

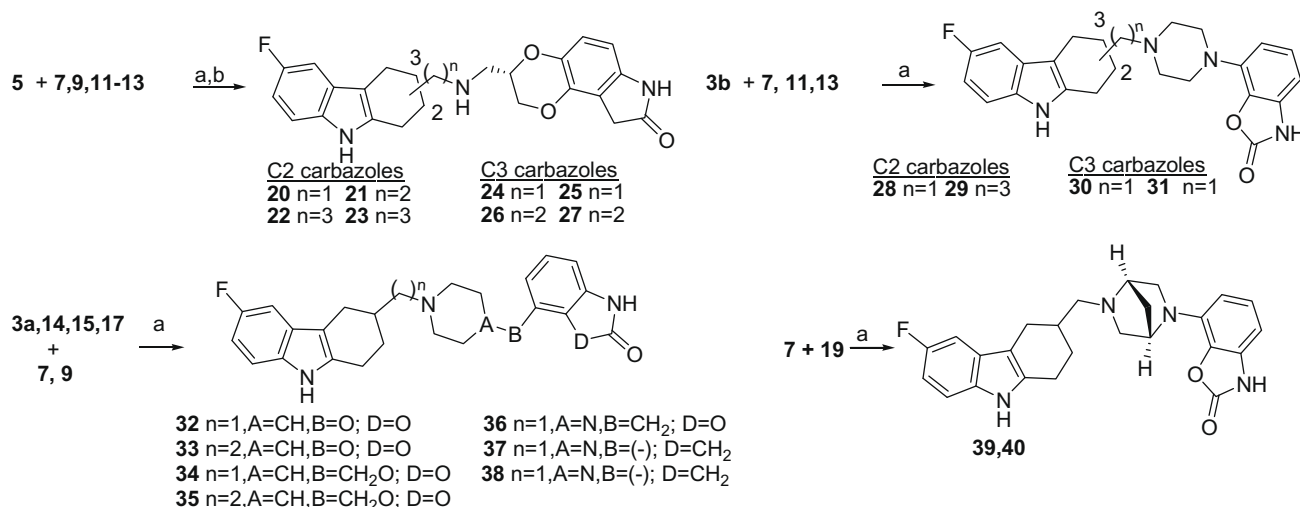
SRI and D<sub>2</sub> receptor K<sub>i</sub> values are shown in Table 1. When comparing C2- versus C3-substituted carbazoles that contain apindore-based D<sub>2</sub> moiety **2**, the C3 derivatives show higher SRI affinity than the corresponding C2 regioisomers. For SRI affinity, a single methylene unit is preferred for C3-analogs, while a propyl linker is preferred at C2. With the exception of **21**, the D<sub>2</sub> ligand has



Scheme 1. Reagents and conditions: (a) LiAlH<sub>4</sub>/THF, rt; (b) Py-SO<sub>3</sub>/TEA/DCM, rt; (c) MsCl/Et<sub>3</sub>N/DCM, rt; (d) NaCN/DMF, 85 °C; (e) DIBAL/toluene, –78 °C; (f) BH<sub>3</sub>/THF, 0 °C; (g) KOH/EtOH, reflux.



Scheme 2. Reagents and conditions: (a) 4-F-Benzyl bromide/NaHCO<sub>3</sub>/DMF, rt; (b) N-Boc-O-Ts-piperidine/K<sub>2</sub>CO<sub>3</sub>/DMF, rt; (c) 40 psi H<sub>2</sub>/10% Pd-C/EtOH; (d) CDI/THF reflux; (e) TFA/CH<sub>2</sub>Cl<sub>2</sub>; (f) Boc-piperazine/Na(OAc)<sub>3</sub>BH/THF/1,2-dichloroethane, rt.



**Scheme 3.** Reagents and conditions: (a) Na(OAc)<sub>3</sub>BH/THF/1,2-dichloroethane, rt; (b) 45 psi H<sub>2</sub>/10% w/w Pd(OH)<sub>2</sub>/MeOH-aq HCl.

**Table 1**  
SRI and D<sub>2</sub> affinity

Compd	D <sub>2</sub>	SRI K <sub>i</sub> (nM)	D <sub>2</sub> K <sub>i</sub> (nM)
<b>2-Carbazoles</b>			
<b>20</b>	<b>2</b>	25	100
<b>21</b>	<b>2</b>	5200	36
<b>22</b>	<b>2</b>	15	50
<b>23</b>	<b>2</b>	4	45
<b>28</b>	<b>3b</b>	62	220
<b>29</b>	<b>3b</b>	62	50
<b>3-Carbazoles</b>			
<b>24</b>	<b>2</b>	7	3
<b>25</b>	<b>2</b>	1	3
<b>26</b>	<b>2</b>	24	24
<b>27</b>	<b>2</b>	21	24
<b>30</b>	<b>3b</b>	32	60
<b>31</b>	<b>3b</b>	22	17
<b>39</b>	<b>30</b>	8	14
<b>40</b>	<b>30</b>	460	12
<b>37</b>	<b>3a</b>	1140	15
<b>38</b>	<b>3a</b>	4700	15
<b>36</b>	<b>17</b>	41	120
<b>32</b>	<b>14</b>	3	140
<b>33</b>	<b>14</b>	1200	310
<b>34</b>	<b>15</b>	0.4	28
<b>35</b>	<b>15</b>	10	720

Standards: Escitalopram SRI K<sub>i</sub> 1 nM; haloperidol D<sub>2</sub> K<sub>i</sub> 3 nM.

All K<sub>i</sub> values represent averages of at least three independent experiments.  $\alpha$ -Values represent average of at least two independent experiments.

a modest, variable effect on SRI affinity in this group (**20–27**). SRI and D<sub>2</sub> affinity is similar in pairs of carbazole diastereomers (**22/23**, **24/25**) and enantiomers **30/31**. However, bicyclopiperazine diastereomers **39** and **40** display a substantial divergence in SRI affinity. Aplindore-based derivatives provide equal or higher SRI affinity compared to the oxazolone analogs **30** and **31**. Oxygen and carbon-linked piperidines and piperazine derivatives **32–38** showed variable D<sub>2</sub> affinity, and in most cases, lower SRI affinity compared to other compounds in Table 1. This data indicates that the linker can have a significant effect on receptor affinity, and collectively suggests a complex interplay between structure and activity in these multi-target molecules.

D<sub>2</sub> functional activity, 5-HT<sub>2B</sub>,<sup>14</sup> 5HT<sub>1A</sub><sup>14</sup> and  $\alpha$ 1<sup>15</sup> affinity for compounds that met primary receptor affinity criteria are shown in Table 2. None of the compounds in this group displayed agonist

**Table 2**  
D<sub>2</sub> functional activity and preliminary receptor selectivity profile

Compd	D <sub>2</sub> functional activity ( $\alpha$ -value)	5-HT <sub>2B</sub> K <sub>i</sub> (nM)	5HT <sub>1A</sub> K <sub>i</sub> (nM) (function)	Rat $\alpha$ 1 K <sub>i</sub> (nM)
<b>22</b>	0.3	610	6	54
<b>23</b>	0.3	400	NT	22
<b>24</b>	0.3	130	2 (agonist)	0.5
<b>25</b>	0.4	6	2 (antag)	0.72
<b>31</b>	0.6	680	130 (partial agonist)	220
<b>34</b>	<0.1	110	NT	130
<b>39</b>	0.3	165	1800	65

All K<sub>i</sub> values represent averages of at least three independent experiments.  $\alpha$ -Values represent average of at least two independent experiments.

activity in a 5HT<sub>2B</sub> functional assay.<sup>16</sup> Aplindore derivatives **22–25**, and phenyloxazolone analog **31** showed an acceptable degree of D<sub>2</sub> partial agonism in vitro. It is interesting to compare the properties of **31** and **39** because they differ by a single methylene unit that fixes the flexibility of the piperazine ring. The bicyclic linker in **39** confers less D<sub>2</sub> agonist activity, and suggests that D<sub>2</sub> function can be influenced by the linker. Piperazine **31** shows decreased affinity for 5HT<sub>2B</sub> and  $\alpha$ 1 compared to **39**, and increased 5HT<sub>1A</sub> affinity. The compounds listed in Table 2, with the exception of **34** (a full D<sub>2</sub> antagonist), were tested in in vivo assays. In the 5-HTP model, only **31** (30 mg/kg ip) potentiated symptoms of serotonin syndrome. One possible explanation for the lack of activity of **22–25** and **39** in this assay is the less than 10-fold separation between SRI and  $\alpha$ 1 affinity. Antagonism of the  $\alpha$ 1 receptor is known to acutely suppress serotonin release in the CNS.<sup>17</sup> In the mouse AIC model, **39** demonstrated an atypical antipsychotic-like profile with separation between climbing and stereotypy effects (climbing ED<sub>50</sub> 2.3 mg/kg ip, stereotypy ED<sub>50</sub> of >5 mg/kg ip). In a mouse catalepsy model, **39** showed 48% catalepsy at 10 mg/kg (ip) at 90 min. Piperazine **31** demonstrated atypicality in the AIC model (climbing ED<sub>50</sub> 0.5 mg/kg ip, stereotypy ED<sub>50</sub> >1 mg/kg ip) and it was devoid of cataleptogenic potential at doses up to 10 mg/kg over a similar time frame. In the rat CAR model, **31** showed activity following ip and oral (10 mg/kg) administration by reducing avoidance response without an increase in escape response. Bicyclopiperazine **39** was not tested in the CAR model because of its less favorable receptor binding ( $\alpha$ 1) and in vivo activity profile (catalepsy, lack of 5-HT potentiation), compared to **31**.

In summary, we demonstrated that dual acting SRI and D<sub>2</sub> compounds with high affinity at both receptors can be synthesized. Selected compounds demonstrated D<sub>2</sub> partial agonism, a property that may contribute to effective treatment of the positive symptoms of schizophrenia with a reduced risk of EPS. Receptor binding and functional activity measured with these compounds indicates a complex relationship between structure and these properties. Future studies in this area will address receptor selectivity and pharmacokinetic/pharmacodynamic properties of partial D<sub>2</sub>/SRI-active molecules in an attempt to provide proof of principle tools for this interesting concept in schizophrenia treatment.

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